Report to Accounts, Audit & Governance Committee

13th September 2016
By the Director of Corporate Resources
INFORMATION REPORT

Not Exempt

Risk Management ~ Quarterly Report

Executive Summary

This report includes an update on the Corporate Risk Register for consideration and provides an update on progress with the quarterly departmental risk register reviews.

Recommendations

That the Committee is recommended to:

i)   Note the contents of this report.

Reasons for Recommendations

As part of good governance, it is important that this document is considered by Members.

Background Papers

Covalent Performance Management System / Departmental Risk Registers

Wards affected: All

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Background Information

1 Introduction and Background

1.1 The Accounts, Audit and Governance Committee is charged with responsibility for monitoring the effectiveness of the Council's risk management arrangements.

1.2 The report provides details of key changes to the Council's Corporate Risk Register, and an update on progress regarding the departmental risk registers (see 3.1 and 3.2 below).

2 Relevant Council Policy

2.1 The Council's Risk Management Policy is detailed in the Council's Risk Management Toolkit.

The Council's Risk Management Strategy (approved by the Council's Senior Leadership Team on 7th December 2015) is a component part of the Policy, and this document sets out to achieve the following objectives:

- Fully integrate risk management into the culture of the Council and its strategic and service planning processes;

- Ensure that the risk management framework is understood and that ownership and accountability for managing risks is clearly assigned;

- Ensure the benefits of risk management are realised through maximising opportunities and minimising threats;

- Ensure consistency throughout the Council in the management of risk.

3 Details

3.1 Corporate Risk Register

The Senior Leadership Team (SLT) has reviewed the Corporate Risk Register and comments have been updated to reflect the current position for each risk (see Appendix 1).

Two emerging risks have been added which relate to Cyber Risk (CRR18) and Brexit (CRR19).

3.2 Departmental Risk Registers

All departmental risk registers have been reviewed and updated with the exception of CenSus ICT. Following the recent departure of the Head of CenSus ICT and the imminent departure of the IT Security Manager, the CenSus ICT risk register will need to be comprehensively reviewed. In particular, responsibilities for the implementation of some of the control actions will need to be reassigned.

4   Outcome of Consultations

4.1   Officers who are responsible for control actions and the Senior Leadership Team have been consulted in updating the Corporate Risk Register.

5   Other Courses of Action Considered but Rejected

5.1   Not applicable.

6   Financial Consequences

6.1   There are no financial consequences.

7   Legal Consequences

7.1   There are no legal consequences.

8   Staffing Consequences

8.1   There are no staffing consequences.

9   Risk Assessment

9.1   The report provides an update on the Council's corporate risks and how these are being managed by the Senior Leadership Team. See Appendix 2 for the latest version of the Council's Corporate Risk Register.

10   Other Considerations

10.1   Risk management encompasses all risks within the organisation, including strategic, operational, and project/change risks. This includes consideration of Crime & Disorder; Human Rights; Equality & Diversity; and Sustainability as appropriate.